

EXHIBIT 1

FILED UNDER SEAL

EXHIBIT 2

**IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF WISCONSIN**

AUTHENTICOM, INC.,

Plaintiff,

Case No. 17-cv-318

vs.

CDK GLOBAL, LLC, and THE REYNOLDS
AND REYNOLDS COMPANY,

Defendants.

**AUTHENTICOM'S FIRST SET OF REQUESTS FOR THE PRODUCTION OF
DOCUMENTS FOR DEFENDANT CDK GLOBAL, LLC**

Pursuant to Rules 26 and 34 of the Federal Rules of Civil Procedure, Plaintiff Authenticom, Inc. ("Authenticom") hereby requests that Defendant CDK Global, LLC ("CDK") produce all documents in its actual or constructive possession, custody, or control related directly or indirectly to any of the matters described below. All documents and tangible things responsive hereto should be produced for inspection as soon as practicable and in no event later than thirty (30) days from the date after service of these requests for production. Production shall be made at the offices of KELLOGG, HANSEN, TODD, FIGEL & FREDERICK, P.L.L.C., 1615 M Street, N.W., Suite 400, Washington, D.C. 20036, or as otherwise agreed to by the parties.

DEFINITIONS

For purposes of these requests the following definitions shall apply:

1. “Add-on application” means a software application that dealers use in addition to or separate from their Dealer Management System (“DMS”) software in the course of operating their dealerships.
2. “All” shall be construed as all and any, and the term “any” shall be construed as all and any.
3. “API” shall mean Advanced Programming Interface.
4. “Authenticom” shall mean the Plaintiff in this action and a provider of data integration services in the automotive industry based in La Crosse, Wisconsin. “Authenticom” shall include its present or former predecessors, subsidiaries, divisions, departments, operating units, directors, officers, managers, employees, attorneys, and accountants.
5. The connectives “and” and “or” shall be construed either disjunctively or conjunctively as necessary to bring within the scope of the document request all responses that might otherwise be construed to be outside of its scope.
6. “CDK” shall mean CDK Global, LLC, as described in Paragraph 4 of Defendants’ Combined Statement of Additional Facts Requiring Denial of Preliminary Injunctive Relief (hereinafter, “SoAF”), Dkt. No. 87 (June 16, 2017). “CDK” shall include the Dealer Services business of Automatic Data Processing, Inc. (“ADP”) prior to September 30, 2014. *See* SoAF ¶ 5. “CDK” shall include its present or former predecessors, subsidiaries, divisions, departments, operating units, directors, officers, managers, employees, attorneys, and accountants.
7. “Communication” shall mean any exchange or transfer of information, whether electronic, written, oral, or in any other form. The phrase “communication between” is defined to

include instances where one party addresses the other party but the other party does not necessarily respond.

8. “Data access policy” means Your policy with respect to the ability of dealers to authorize independent integrators to access data on the DMS or otherwise grant third parties access to their data on the DMS.

9. “Data integrators” shall refer to service providers that provide access by any means to dealer data on the DMS database, whether by extracting the data, writing data back into the DMS, or both. For the avoidance of doubt, “data integrators” includes CDK (through its 3PA program) and Reynolds (through its RCI program).

10. “DealerVault” shall refer to the current data integration product offered by Authenticom, which provides a unified user interface that enables dealers to add, remove, or change the data sets that Authenticom sends to vendors on the dealers’ behalf.

11. “DMI” shall mean Digital Motorworks, Inc., as described in Paragraph 215 of the SoAF. “DMI” shall include its present or former predecessors, subsidiaries, divisions, departments, operating units, directors, officers, managers, employees, attorneys, and accountants.

12. The “DMS Market” shall mean the market for the provision of DMS services to new car franchised automobile dealers, as described in Paragraphs 7-15 of the SoAF.

13. “Document” and “Documents” are used in the broadest extent permitted by Federal Rule of Civil Procedure Rule 34(a).

14. “IntegraLink” shall mean IntegraLink as described in Paragraph 215 of the SoAF. “IntegraLink” shall include its present or former predecessors, subsidiaries, divisions,

departments, operating units, directors, officers, managers, employees, attorneys, and accountants

15. “Including” (in addition to its usual meanings) shall mean including but not limited to.

16. “Meeting” shall mean, without limitation, any assembly, convocation, encounter, communication or contemporaneous presence (whether in person or via any electronic, computer-assisted, digital, analog, video, or telephonic method of communication) of two or more persons for any purpose, whether planned or arranged, scheduled or not.

17. “Person” or “persons” shall mean, without limitation, any individual, corporation, partnership or any variation thereof (e.g., limited partnership, limited liability partnership), limited liability company, proprietorship, joint venture, association, government entity, group or other form of legal entity.

18. “Preliminary Injunction Hearing” shall mean the hearing conducted in the United States District Court for the Western District of Wisconsin in this case, No. 3:17-CV-318-JDP, from June 26-28, 2017.

19. “Price Secrecy Provision” means any contractual provision designed to prevent vendors from informing dealers or other third parties about the pricing charged under the 3PA or RCI programs.

20. The terms “relating to,” “related to,” “referring to,” “regarding,” or “with respect to” shall mean, without limitation, the following concepts: concerning, discussing, describing, reflecting, dealing with, pertaining to, analyzing, evaluating, estimating, constituting, studying, surveying, projecting, assessing, recording, summarizing, criticizing, reporting, commenting, or otherwise involving, in whole or in part.

21. “Reynolds” shall mean “The Reynolds & Reynolds Company” as described in Paragraphs 1-3 of the SoAF. “Reynolds” shall include its present or former predecessors, subsidiaries, divisions, departments, operating units, directors, officers, managers, employees, attorneys, and accountants.

22. “SecurityFirst” shall mean the CDK initiative described in Paragraphs 133-148 of the SoAF.

23. “SIS” means Superior Integrated Solutions and shall include its present or former predecessors, subsidiaries, divisions, departments, operating units, directors, officers, managers, employees, attorneys, and accountants.

24. “SFTP” means secure file transfer protocol.

25. “Third party integrators” shall mean data integrators that provide data integration services on a DMS platform and are unaffiliated with the DMS provider.

26. “Vendors” or “application providers” shall mean vendors who provide software applications that perform operations functions for dealerships, as described in Paragraph 20 of the SoAF.

27. “You,” “your” or “your company” mean the responding defendant, its predecessors, successors, parents, subsidiaries, departments, divisions, joint ventures, and affiliates, including without limitation any organization or entity that the responding defendant manages or controls, together with all present and former directors, officers, employees, agents, representatives or any persons acting or purporting to act on behalf of the responding defendant.

INSTRUCTIONS

1. In producing documents and other materials, You must furnish all documents in Your possession, custody, or control, regardless of whether such documents or materials are

possessed directly by You or Your employees or former employees, agents or former agents, parents, subsidiaries, affiliates, investigators, or by Your attorneys or their employees, agents, vendors or investigators.

2. All documents shall be produced in the same order as they are kept or maintained by You in the ordinary course of Your business. All documents shall be produced in the file folder, envelope or other container in which the documents are kept or maintained.

3. Documents attached to one another should not be separated. If any portion of any document is responsive to any portion of the document requests below, then the entire document must be produced.

4. Documents shall be produced in such fashion as to identify the natural person in whose possession they were found (i.e., the document custodian).

5. If any document responsive to any of these requests is privileged, and the document or any portion of the document requested is withheld based on a claim of privilege, provide a statement of the claim of privilege and all facts relied upon in support of that claim, including the following information:

- a. the exact basis for withholding the document;
- b. the date of such communication;
- c. the medium of such communication;
- d. the general subject matter of such communication (such description shall not be considered a waiver of Your claimed privilege);
- e. the identity of any document that was the subject of such communication and the present location of any such document;
- f. the identity of all persons involved in such communication; and
- g. the identity of any document which records, refers, or relates to such communication and the present location of any such document.

6. Each document requested herein should be produced in its entirety and without deletion, redaction or excision, except as permitted by a recognized privilege, regardless of whether You consider the entire document or only part of it to be relevant or responsive to these

document requests. If You have redacted any portion of a document on the ground of privilege, stamp the word “REDACTED” beside the redacted information on each page of the document You have redacted.

7. Each request for documents seeks production of all documents described along with any attachments, drafts, and non-identical copies in any language whatsoever, in the possession, custody, or control of You or Your respective agents or attorneys. You are specifically instructed to review any storage and archive facilities and the personal files, records, notes, correspondence, daily calendars, telephone logs, and records of all persons who would likely have responsive documents.

REQUESTS FOR THE PRODUCTION OF DOCUMENTS

Regulatory Investigations

REQUEST NO. 1. All documents and correspondence relating to any inquiry or investigation by the Federal Trade Commission, Department of Justice, or any other federal or state regulatory authority regarding your DMS business, data integration business, add-on applications, data access policies, or any other related matter. The date limitation for this request is January 1, 2007, to the present. For the avoidance of doubt, this request includes, without limitation:

- a. any documents produced by you (or any other party) to any such regulatory authority;
- b. any correspondence with any such regulatory authority, including any Civil Investigative Demand (“CID”) or subpoena received from any such regulatory authority;

- c. any transcripts, recordings, or records of any interviews and/or testimony provided by any witness to any such regulatory authority; and
- d. any CDK or Reynolds internal documents relating to any investigation by any such regulatory authority.

Agreements and Communications Between CDK and Reynolds

REQUEST NO. 2. All communications between CDK and Reynolds regarding their respective DMS business, data integration business, add-on applications, data access policies, or any other related matter – including all documents concerning such communications. There is no date limitation for this request.

REQUEST NO. 3. All communications between CDK and Reynolds regarding access by independent data integrators to data on their respective DMS platforms. There is no date limitation for this request.

REQUEST NO. 4. All documents and communications regarding any agreement (written or otherwise) between CDK and Reynolds. There is no date limitation for this request.

REQUEST NO. 5. All documents and communications relating to the February 18, 2015 “Data Exchange Agreement,” “3PA Agreement,” and “Reynolds Interface Agreement” (collectively, the “Agreements”). There is no date limitation for this request. For the avoidance of doubt, this request includes, without limitation:

- a. all communications between CDK and Reynolds regarding the Agreements;
- b. all communications with other parties (whether vendors or dealers) regarding the Agreements;
- c. all drafts of the Agreements;
- d. all documents describing, construing, or interpreting the Agreements;

- e. all internal documents and communications regarding the Agreements;
- f. because CDK and Reynolds have waived their attorney-client privilege with respect to the Agreements – and any such communications are subject to the crime-fraud exception in any event – all communications with counsel for CDK and/or Reynolds regarding the Agreements; and
- g. any other document relating in any way to the Agreements.

REQUEST NO. 6. All documents and communications regarding the transition of vendor customers of CDK into the RCI program. For the avoidance of doubt, this request includes, without limitation:

- a. all information provided by CDK to Reynolds about its vendor customers, *see*, *e.g.*, §§ 4.3, 4.4, Exhibit DEA-2 of the Data Exchange Agreement;
- b. all communications between CDK and Reynolds about CDK's vendor customers;
- c. all communications between CDK and its vendor customers regarding the RCI program;
- d. all communications between Reynolds and CDK's vendor customers regarding the RCI program;
- e. all communications with Reynolds DMS customers regarding the transition of CDK's vendor customers into the RCI program;
- f. all pricing information regarding what CDK vendor customers paid for access to data on the Reynolds DMS before the Data Exchange Agreement, and what those vendor customers (1) paid when they entered into the RCI program, and (2) what they pay now; and

- g. all communications related to any instance where Reynolds advised vendors awaiting RCI certification to move their data integration business to CDK, DMI, or IntegraLink in advance of RCI certification.

REQUEST NO. 7. All documents and communications regarding data security and/or DMS system performance with respect to CDK's access to the Reynolds DMS. There is no date limitation for this request. For the avoidance of doubt, this request includes, without limitation:

- a. all documents and communications relating to the effect of CDK's access to the Reynolds DMS on the performance of the Reynolds DMS;
- b. all documents and communications relating to any data security incidents caused by CDK's access to the Reynolds DMS; and
- c. all documents and communications relating to any specific system performance issue that was or is directly attributable to CDK's access to the Reynolds DMS.

REQUEST NO. 8. All documents and communications regarding the impact on CDK's business (including the business of its subsidiaries, DMI and IntegraLink) – whether on CDK's finances, its dealer and vendor relationships, or otherwise – caused by Reynolds' blocking of CDK's access to dealer data on the Reynolds DMS.

REQUEST NO. 9. All documents regarding working with other data integrators – such as Authenticom, SIS, SelectQu, or others – and all communications with those entities regarding finding solutions (technological or otherwise) to Reynolds' blocking.

Authenticom

REQUEST NO. 10. All documents and communications referencing, relating to, or concerning Authenticom, DealerVault, or Steve Cottrell. There is no date limitation for this request.

REQUEST NO. 11. All documents and/or communications between CDK and Reynolds relating to Authenticom, DealerVault, or Steve Cottrell – including all documents concerning such communications. There is no date limitation for this request.

REQUEST NO. 12. All documents and/or communications regarding any cooperation, coordination, joint effort, assistance, exchange of information or technical knowhow, or any other type of collaboration between CDK and Reynolds in blocking Authenticom’s access to dealer data on their respective DMS platforms. There is no date limitation for this request.

REQUEST NO. 13. All communications with vendors and/or dealers regarding Authenticom, DealerVault, or Steve Cottrell. There is no date limitation for this request.

REQUEST NO. 14. All documents and communications to or from Dan McCray, Steve French, Howard Gardner, Malcolm Thorne, Robert Karp, Ron Workman, Steve Anenen, or Brian MacDonald relating to the following: Authenticom, Steve Cottrell, DealerVault, “hostile” or “unauthorized” integration, dealers using independent integrators to access their data, Bob Brockman, Robert Schaefer, Ron Lamb, Chris Hellyer, or Kelly Hall. There is no date limitation for this request.

REQUEST NO. 15. All documents and communications regarding the VPN tunnel that CDK provided to Authenticom for accessing dealer data on the CDK DMS, and the reasons why CDK stopped providing Authenticom with that access.

CDK's Access to Other DMSs

REQUEST NO. 16. All documents and communications relating to CDK's (including through its subsidiaries, Digital Motorworks and Integralink) access to data on the Reynolds DMS. There is no date limitation for this request. For the avoidance of doubt, this request includes, without limitation:

- a. all communications between CDK and Reynolds regarding CDK's access to the Reynolds DMS;
- b. the technological means by which CDK accesses or accessed the Reynolds DMS;
- c. the technological means by which Reynolds has either blocked or permitted CDK's access to the Reynolds DMS;
- d. any internal documents and communications regarding CDK's access to the Reynolds DMS;
- e. any communications with vendors or dealers regarding CDK's access to the Reynolds DMS;
- f. documents sufficient to show the revenues CDK received from vendors for providing access to data on the Reynolds DMS;
- g. documents sufficient to show the vendors for whom CDK provided access to data on the Reynolds DMS, including the time period during which CDK provided or has provided that access; and
- h. all documents and communications relating to CDK's wind down of its access to data on the Reynolds DMS.

REQUEST NO. 17. All documents and communications relating to CDK's "SMART-R" program.

REQUEST NO. 18. All documents and communications relating to Reynolds blocking or disabling of the dealer-provided login credentials that Digital Motorworks and/or IntegraLink used to access the Reynolds DMS.

REQUEST NO. 19. All documents and communications concerning CDK's (including through its subsidiaries, Digital Motorworks and IntegraLink) access to data on non-CDK, non-Reynolds DMS platforms. The date limitation for this request is January 1, 2014, to the present. For the avoidance of doubt, this request includes, without limitation:

- a. all communications between CDK and any other DMS provider regarding CDK's access to that provider's DMS;
- b. the technological means by which CDK accesses or accessed data on any other DMS provider's DMS, including the use of login credentials, APIs, SFTPs, other file transfer protocols, cloud sharing technology (such as Dropbox), direct integration, or otherwise;
- c. the technological means by which any DMS provider has either blocked or permitted CDK's access to that provider's DMS;
- d. all agreements between Digital Motorworks or IntegraLink, on the one hand, and a DMS provider, on the other hand, regarding access to data on the provider's DMS;
- e. any internal documents and communications regarding CDK's access to data on non-CDK DMSs; and

- f. any communications with vendors or dealers regarding CDK's access to data on non-CDK DMSs.

CDK's Data Access Policies

REQUEST NO. 20. All documents, communications, and statements (whether internal or public) regarding CDK's policies (or the policies of any other DMS provider) with respect to third-party access to dealer data on the CDK DMS. There is no date limitation for this request.

For the avoidance of doubt, this request includes, without limitation:

- a. all documents and communications relating to your public statements regarding the data access policies of any other DMS provider, including Reynolds; and
- b. all documents and communications regarding your marketing of your open or closed data access policies as contrasted with the data access policies of other DMS providers.

REQUEST NO. 21. All documents and communications relating to Open Secure Access, Inc., including your participation or membership in the group, the policies and purpose of the group, the stated principles espoused by the group, or any other documents relating to the group and your own data access policies. The date limitation for this request is January 1, 2006, to the present.

REQUEST NO. 22. All documents, communications, and statements (whether internal or public) regarding the ability of dealers to authorize independent data integrators to access data on the CDK DMS. There is no date limitation for this request. For the avoidance of doubt, this request includes, without limitation:

- a. all communications with dealers or vendors, representations to dealers or vendors, or statements relating to dealers or vendors (whether internal to CDK or public) regarding the ability of dealers to authorize independent data integrators to access data on the CDK DMS;
- b. all public statements regarding the ability of dealers to authorize independent integrators to access data on the CDK DMS; and
- c. all internal documents and communications regarding the ability of dealers to authorize independent integrators to access data on the CDK DMS.

REQUEST NO. 23. All documents, communications, and statements (whether internal or public) regarding the ability of vendors to use independent integrators to access data on the CDK DMS. There is no date limitation for this request. For the avoidance of doubt, this request includes, without limitation:

- a. all communications with dealers or vendors, representations to dealers or vendors, or statements relating to dealers or vendors (whether internal to CDK or public) regarding the ability of vendors to use independent integrators to access data on the CDK DMS;
- b. all public statements regarding the ability of vendors to use independent integrators to access data on the CDK DMS; and
- c. all internal documents and communications regarding the ability of vendors to use independent integrators to access data on the CDK DMS.

REQUEST NO. 24. All communications between CDK and any provider of data integration services – such as SIS, Authenticom, or otherwise – including all documents

concerning such communications. There is no date limitation for this request. For the avoidance of doubt, this request includes, without limitation:

- a. any communications regarding the integration provider's access to dealer data on the CDK DMS;
- b. any internal documents regarding the integration provider's access to dealer data on the CDK DMS; and
- c. any cease and desist letters or similar complaints regarding the integration provider's access to dealer data on the CDK DMS.

REQUEST NO. 25. All documents relating to any agreements between CDK and any provider of data integration services. There is no date limitation for this request. For the avoidance of doubt, this request includes, without limitation:

- a. any "wind down," settlement, or other agreements entered into between CDK and any data integration provider;
- b. all communications between CDK and the integration provider regarding the agreements;
- c. all communications with other parties (whether vendors or dealers) regarding the agreements;
- d. all drafts of the agreements;
- e. all documents describing, construing, or interpreting the agreements;
- f. all internal documents and communications regarding the agreements;
- g. all communications with counsel for CDK regarding the agreements; and
- h. any other document relating in any way to the agreements.

CDK's 3PA Program

REQUEST NO. 26. Documents and communications sufficient to describe the characteristics of the 3PA Program before the “SecurityFirst” and/or “3PA Refresh” initiative. The date limitation for this request is January 1, 2007, to January 1, 2016.

REQUEST NO. 27. All documents and communications relating to CDK's “SecurityFirst” and/or “3PA Refresh” initiative. The date limitation for this request is January 1, 2014, to the present. For the avoidance of doubt, this request includes, without limitation:

- a. All internal presentations and communications regarding the “Security First” and/or “3PA Refresh” initiative;
- b. All financial analyses conducted relating to the “Security First” and/or “3PA Refresh” initiative;
- c. All documents and communications relating to the reasons CDK introduced the “Security First” and/or “3PA Refresh” initiative;
- d. All communications with dealers and vendors regarding the “Security First” and/or “3PA Refresh” initiative;
- e. Documents to show the differences between the 3PA program before and after the “Security First” and/or “3PA Refresh” initiative;
- f. All documents and communications with respect to the technological implementation of the “Security First” and/or “3PA Refresh” initiative; and
- g. All documents and communications relating to any security enhancements instituted as part of the “Security First” and/or “3PA Refresh” initiative.

REQUEST NO. 28. Documents and communications regarding the ability of dealers to extract their data from the DMS manually, including when that capability was instituted; the

means by which dealers extract the data manually; how often dealers extract their own data for purposes of providing the data to third-party vendors; and the means by which dealers send their manually-extracted data to vendors. The date limitation for this request is January 1, 2007, to the present.

REQUEST NO. 29. Documents and communications regarding whether vendors that participate in the 3PA program are able to obtain data directly from dealers consistent with the terms of the 3PA contract. The date limitation for this request is January 1, 2014, to the present.

Whitelisting

REQUEST NO. 30. All documents and communications relating to “whitelisting” – or CDK otherwise declining to disable or block – the login credentials created by dealers for certain vendors or data integrators. The date limitation for this request is January 1, 2015, to the present.

For the avoidance of doubt, this request includes, without limitation:

- a. All communications with data integrators, vendors, and/or dealers regarding the whitelisting of login credentials;
- b. Documents sufficient to show for which data integrators, vendors, or other third parties CDK whitelisted login credentials;
- c. Documents sufficient to show how whitelisting is accomplished, including all technical, system architecture, workflow processes, or other mechanisms used to whitelist login credentials;
- d. All internal documents and communications regarding whitelisting of login credentials, including whether to whitelist, how to whitelist, the benefits and drawbacks of whitelisting, or any other such materials regarding the protection of login credentials used by third parties; and

- e. Documents sufficient to show the number of dealers with a CDK DMS for which SIS continued to provide any data integration services after July 1, 2016, and the specific services that SIS provided and/or provides to each such dealer.

DMS Business

REQUEST NO. 31. CDK's "win/loss" reports, communications, analysis, or any other documents sufficient to show the DMS customers (including by dealership group and by rooftop) that CDK has lost every year since 2000, including the reasons the DMS customer switched DMS providers and the identity of the DMS provider to whom the dealer switched.

REQUEST NO. 32. CDK's "win/loss" reports, communications, analysis, or any other documents sufficient to show the DMS customers (including by dealership group and by rooftop) that CDK has won every year since 2000, including the reasons the DMS customer switched to CDK and the identity of the DMS provider from whom the dealer switched.

REQUEST NO. 33. Documents sufficient to show or list the DMS customers that CDK has lost to Reynolds or won from Reynolds for every year since 2000.

REQUEST NO. 34. All communications from CDK to dealers (whether existing or prospective DMS customers) regarding the differences or similarities between CDK and Reynolds with respect to data access policies. The date limitation for this request is January 1, 2006, to the present. For the avoidance of doubt, this request includes, without limitation, any documents or communications in which CDK marketed its open access policy as a point of differentiation with the Reynolds DMS.

REQUEST NO. 35. All documents and communications from January 1, 2015, to the present regarding any loss or potential loss of DMS customers in connection with the

“SecurityFirst” initiative, “3PA Refresh,” and/or CDK’s decision to restrict dealers from using independent integrators.

REQUEST NO. 36. Documents sufficient to show the tenure (i.e., how long they have been using a CDK DMS) of CDK’s current DMS customers.

Contracts with Dealers, Vendors, or OEMs

a. DMS Contracts

REQUEST NO. 37. One (1) representative Master Services Agreement signed each year from 1990 to the present between CDK and its dealer customers for DMS services. Each representative agreement should include all documents that comprise a DMS contract between CDK and its dealer customers, including any user guides, addendums, exhibits, and other documents that form the agreement between CDK and its dealer customers.

REQUEST NO. 38. One (1) representative example of each version of CDK’s standard DMS contract with its dealer customers as it has materially changed over time from 1990 to the present. Each representative version should include all documents that comprise a DMS contract between CDK and its dealer customers, including any user guides, addendums, exhibits, and other documents that form the agreement between CDK and its dealer customers.

REQUEST NO. 39. Twenty (20) representative, current signed Master Services Agreements between CDK and its dealer customers. Each agreement should include all documents that comprise the DMS contract between CDK and the dealer, including any user guides, addendums, exhibits, and other documents that form the agreement between CDK and its dealer customers.

REQUEST NO. 40. All DMS contracts (and/or any addendum, exhibit, or part thereof) that authorize or acknowledge that dealers may provide independent integrators access to data on the CDK DMS. *See, e.g.,* Fitkin Reply Decl. ¶ 14, Dkt. No. 141 (June 22, 2017).

REQUEST NO. 41. All documents and communications with respect to § 6.D of CDK's DMS contract or any other similar provision relating to the fact that a dealer's "employees and agents" may "have access" to the DMS. There is no date limitation for this request. For the avoidance of doubt, this request includes, without limitation:

- a. all communications with other parties (whether vendors or dealers) regarding the provision or the ability for dealers to grant access to
- b. all documents describing, construing, or interpreting the agreements;
- c. all internal documents and communications regarding the agreements;
- d. all communications with counsel for CDK regarding the agreements; and
- e. any other document relating in any way to the agreements.

REQUEST NO. 42. All documents and communications relating to CDK no longer allowing dealers to authorize independent integrators to access data on the CDK DMS. The date limitation for this request is January 1, 2015, to the present. For the avoidance of doubt, this request includes, without limitation:

- a. All communications with dealers relating to whether they can (or cannot) authorize independent integrators to access data on the CDK DMS, including any communications that cite provisions in a particular DMS contract to that effect;

- b. All documents and communications relating to the enforcement or threat of enforcement of CDK's DMS contract against dealers with respect to third-party access to data on the CDK DMS; and
- c. Any internal documents relating to the dealer rights under their DMS contract to authorize third parties to access data on the CDK DMS.

b. 3PA Contracts and Other Data Integration Contracts

REQUEST NO. 43. All current 3PA contracts between CDK and vendors.

REQUEST NO. 44. All contracts for data integration services between CDK and vendors that existed prior June 15, 2015. The date limitation for this request is January 1, 2012, to the present.

REQUEST NO. 45. All documents and communications (internal or external) with respect to any changes to material terms in the 3PA contract, including with respect to the use of other data integrators; price secrecy provisions; and the duration of any terms, including those that survive the termination of the 3PA contract. The date limitation for this request is January 1, 2014, to the present.

REQUEST NO. 46. All current contracts between IntegraLink and vendors, dealers, or OEMs.

REQUEST NO. 47. All contracts between IntegraLink and vendors, dealers, or OEMs that existed prior to June 15, 2015. The date limitation for this request is January 1, 2012 to the present.

REQUEST NO. 48. All current contracts between Digital Motorworks and vendors, dealers, or OEMs.

REQUEST NO. 49. All contracts between Digital Motorworks and vendors, dealers, or OEMs that existed prior to June 15, 2015. The date limitation for this request is January 1, 2012 to the present.

REQUEST NO. 50. All documents and communications relating to CDK's enforcement, threat of enforcement, or CDK's interpretation of contractual provisions in vendors' current 3PA contracts. The date limitation for this request is January 1, 2015, to the present. For the avoidance of doubt, this request includes, without limitation:

- a. All communications with vendors relating to whether they can (or cannot) use independent integrators to access data on the CDK DMS, including any communications that cite provisions in a particular 3PA contract to that effect;
- b. All documents and communications relating to the enforcement or threat of enforcement of CDK's 3PA contract against vendors with respect to accessing data on the CDK DMS by means other than through the 3PA program;
- c. All documents and communications relating to the enforcement or threat of enforcement of the price secrecy provisions in the 3PA contract;
- d. All documents and communications relating to what vendors can tell dealers (whether on invoices, in general communications, or otherwise) with respect to what vendors pay CDK under the 3PA program for data integration;
- e. All documents and communications with vendors and/or dealers with respect to any other contractual provision in the vendor's current 3PA contract; and
- f. all documents describing, construing, or interpreting the 3PA agreements.

Pricing and Financial Documents

a. DMS

REQUEST NO. 51. Documents sufficient to show the monthly price for DMS services paid by CDK's dealer customers, from 2000 to the present.

REQUEST NO. 52. Documents sufficient to show CDK's revenue for DMS services, on a quarterly basis, from 2000 to the present.

REQUEST NO. 53. Documents sufficient to show CDK's profit (including profit margins) for DMS services, on a yearly basis, from 2000 to the present.

REQUEST NO. 54. Documents and communications sufficient to show the price increases for DMS services paid by CDK's dealer customers, from 2000 to the present, including whether those price increases were mandated by contract.

REQUEST NO. 55. Documents and communications sufficient to show CDK's projections with respect to revenue and profit for its DMS services. The date limitation for this request is January 1, 2015, to the present.

REQUEST NO. 56. Documents and communications relating to CDK's announced acquisition of Auto/Mate. The date limitation for this request is January 1, 2017, to the present. For the avoidance of doubt, this request includes, without limitation:

- a. documents sufficient to show the affect of CDK's acquisition of Auto/Mate on CDK's DMS business, including with respect to revenue, profit margins, and market share;
- b. internal documents and communications relating to the rationale for the acquisition;

- c. documents produced to any governmental regulatory authority with respect to the acquisition;
- d. communications (whether by CDK or Auto/Mate) with vendors and/or dealers with respect to the acquisition;
- e. documents and communications relating to Auto/Mate's data access policies and dealer use of independent integrators; and
- f. documents and communications relating to Auto/Mate dealers' ability to authorize independent integrators to access data on the DMS after the close of the acquisition.

b. Data Integration

REQUEST NO. 57. Documents sufficient to show the cost of providing data integration services separate and apart from providing DMS services.

REQUEST NO. 58. Documents sufficient to show CDK's revenue for data integration services, on a quarterly basis, from 2000 to the present, with the revenues of 3PA, DMI, and IntegraLink broken out separately.

REQUEST NO. 59. Documents sufficient to show CDK's profit (including profit margins) for data integration services, on a yearly basis, from 2000 to the present, with the revenues of 3PA, DMI, and IntegraLink broken out separately.

REQUEST NO. 60. Documents and communications sufficient to show CDK's projections with respect to revenue and profit for its data integration services, with projections for 3PA, DMI and IntegraLink broken out separately. The date limitation for this request is January 1, 2014, to the present.

REQUEST NO. 61. Documents sufficient to show (1) which vendor applications are or were participants in the 3PA program; (2) when they became participants in the program; (3) what they paid for integration services when they joined the program; (4) what they paid in January 1, 2015; (5) what they paid after the “3PA refresh”; (6) what they pay now; and (7) if they are no longer participants in the 3PA program, when they stopped and what they paid when they left.

REQUEST NO. 62. Documents sufficient to show (1) which vendor applications obtain or obtained data integration services from Integralink and/or Digital Motorworks *for data on the CDK DMS*; (2) when those applications started to obtain such data integration services, and when they ended, as applicable; (3) what they paid for integration services when they started to obtain such integration services; (4) what they paid in January 1, 2015; (5) what they paid after the “3PA refresh”; and (6) what they pay now, if they still obtain such data integration services from Integralink and/or Digital Motorworks for data on the CDK DMS.

REQUEST NO. 63. Documents sufficient to show (1) which vendor applications obtain or obtained data integration services from Integralink and/or Digital Motorworks *for data on non-CDK DMSs*; (2) when those applications started to obtain such data integration services, and when they ended, as applicable; (3) what they paid for integration services when they started to obtain such integration services; (4) what they paid in January 1, 2015; (5) what they paid after the “3PA refresh”; and (6) what they pay now, if they still obtain such data integration services from Integralink and/or Digital Motorworks for data on non-CDK DMSs.

REQUEST NO. 64. All documents and communications with respect to any vendor application that once obtained data integration services from CDK for data on the CDK DMS,

but no longer, including documents sufficient to show the reasons why those vendor applications no longer obtain data integration from CDK.

REQUEST NO. 65. Documents sufficient to show the change in CDK's pricing for 3PA participants from January 1, 2007, to the present.

REQUEST NO. 66. Documents and communications relating to the change in any pricing for 3PA participants after January 1, 2015.

REQUEST NO. 67. All internal documents and presentations with respect to changes in pricing for participants in the 3PA program. The date limitation for this request is January 1, 2014, to the present.

REQUEST NO. 68. All documents and communications relating to the prices charged by Integralink and Digital Motorworks for access to data on the CDK DMS as opposed to prices charged by CDK to participants in the 3PA program for access to data on the CDK DMS.

REQUEST NO. 69. Documents sufficient to show any up-front initiation or certification fees, per-dealership setup fees, or other one-time charges that CDK has charged to participants in the 3PA program from January 1, 2007, to the present.

REQUEST NO. 70. Documents sufficient to show any per-transaction fees charged to participants in the 3PA program, including when those per-transaction fees were instituted; why they were instituted; their amounts; and by how much they increase a vendor's monthly integration fees. The date limitation for this request is January 1, 2014, to the present.

REQUEST NO. 71. All documents and communications relating to the practice of vendors passing through 3PA integration fees to dealers. This request includes not only internal documents and correspondence but also, without limitation, any correspondence between CDK

and dealers or vendors regarding pass-through integration fees. The date limitation for this request is January 1, 2014, to the present.

REQUEST NO. 72. All documents and communications relating to the pricing charged by other data integrators for data integration services, including but not limited to Reynolds (through its RCI program), Authenticom, SelectQu, and SIS, from January 1, 2007 to the present.

REQUEST NO. 73. Documents and communications relating to prices charged to OEMs for access to data on the CDK DMS.

DMS Architecture, Data Security and System Performance

REQUEST NO. 74. Documents, communications, codes, scripts, and algorithms sufficient to show the system architecture of your DMS, technological aspects for retrieving data from the DMS database, blocking automated access to data on your DMS, or any other relevant technological matter to the claims and defenses in this case. The date limitation for this request is January 1, 2016, to the present. For the avoidance of doubt, this request includes, without limitation:

- a. Codes, scripts, and/or algorithms sufficient to show how you block automated access to data on the DMS;
- b. Codes, scripts, and/or algorithms sufficient to show how data is retrieved from the DMS database;
- c. Codes, scripts, and/or algorithms sufficient to show how data is entered, stored, and organized on the DMS database;
- d. Documents sufficient to show the technological aspects for how data extraction occurs from the DMS database when using the RCI and/or 3PA programs;

- e. Documents sufficient to show the technological aspects for how data extraction occurs from the DMS database when using independent integrators such as DMI, IntegraLink, or otherwise; and
- f. All documents and communications reviewed by any testifying and/or consulting experts on data security and system performance engaged by you for this case, whether for the Authenticom preliminary injunction hearing or otherwise.

REQUEST NO. 75. All documents and communications regarding CDK's use of Authenticom for data integration services. The date limitation for this request is January 1, 2007, to the present. For the avoidance of doubt, this request includes any instance in which a CDK-owned (wholly, jointly, or partially) add-on application used Authenticom for data integration services with respect to any DMS platform.

REQUEST NO. 76. All documents and communications regarding data security and/or DMS system performance with respect to Authenticom's access to the Reynolds or CDK DMSs. There is no date limitation for this request.

REQUEST NO. 77. All documents, communications, or analyses regarding any specific security incident directly caused by Authenticom's (or any other independent integrator's) automated access to data on the CDK or Reynolds DMSs. There is no date limitation for this request.

REQUEST NO. 78. All documents, communications, or analyses with respect to the effects on DMS system performance of Authenticom's (or any other independent integrator's) automated access to data on the CDK or Reynolds DMSs, including any specific system

performance issue directly caused by Authenticom or any other independent integrator. There is no date limitation for this request.

REQUEST NO. 79. All documents and communications relating to the technological methods used by CDK to block or disable dealer-provided login credentials. The date limitation for this request is January 1, 2014, to the present.

REQUEST NO. 80. Documents sufficient to show how dealer data is stored on the CDK DMS. The date limitation for this request is January 1, 2014, to the present. For the avoidance of doubt, this request includes, without limitation:

- a. Documents sufficient to show whether a dealer's data is stored separate from the data of other dealers;
- b. Documents sufficient to show whether a dealer's data is commingled with data that does not belong to the dealer; and
- c. Documents sufficient to show where dealer data is stored (whether on servers at the dealership; at CDK-managed server farms; at third-party managed server farms; or somewhere else).

REQUEST NO. 81. Documents and communications sufficient to show the specific security measures CDK has instituted to protect the security of dealer data. The date limitation of this request is January 1, 2014, to the present.

REQUEST NO. 82. Documents sufficient to show the security infrastructure of the CDK DMS and how it has changed over time. The date limitation for this request is January 1, 2014, to the present.

REQUEST NO. 83. All documents and communications referencing, relating to, or concerning the August 28, 2013 memo of the National Automobile Dealers Association, which was introduced as Defendants' Exhibit 15 at the Preliminary Injunction Hearing.

REQUEST NO. 84. All documents and communications referencing, relating to, or concerning the Gramm-Leach-Bliley Act in connection with data integration services. This request includes, without limitation, all documents and communications referencing, relating to, or concerning the Gramm-Leach-Bliley Act in connection with CDK's Digital Motorworks and IntegraLink data integration products.

REQUEST NO. 85. All documents and communications referencing, relating to, or concerning the "GSO Threat and Security Assessment" report prepared by PwC, dated May 6, 2015 and introduced as Defendants' Exhibit 25 at the Preliminary Injunction Hearing.

REQUEST NO. 86. All documents and communications relating to Elliott Management's investment in CDK, as well as any requests that Elliott Management has made on CDK (e.g., through letters to the CDK Board of Directors). The date limitation for this request is January 1, 2014, to the present.

REQUEST NO. 87. All documents and communications relating to Elliott Management in connection with CDK's "SecurityFirst" and/or "3PA Refresh" initiatives. The date limitation for this request is January 1, 2014, to the present.

REQUEST NO. 88. Documents concerning any instance in which CDK has made dealer data available to an OEM from a dealership that sells a different brand of automobile. For the avoidance of doubt, this request would include any instance where CDK made available to an OEM (e.g., Honda) the DMS data from a dealership family that included a Honda dealer as well as other non-Honda dealerships.

Miscellaneous

REQUEST NO. 89. All documents and communications relating to any competitive threat or challenge posed by independent data integrators to CDK's business, including without limitation, to CDK's core DMS business and CDK's add-on applications. The date limitation for this request is January 1, 2014, to the present.

REQUEST NO. 90. All documents and communications relating to any competitive threat or challenged posed by application providers to CDK's DMS business, including without limitation, any threat of disintermediation.

REQUEST NO. 91. All documents and communications regarding CDK's effort to "tilt the table" for or advantage its own add-on applications as compared to applications offered by third-party vendors. The date limitation for this request is January 1, 2014, to the present. For the avoidance of doubt, this request includes, without limitation:

- a. All documents and communications regarding any "closed categories" of application types that CDK would not permit into the 3PA program;
- b. All documents and communications regarding any functionality with respect to access to dealer data requested by vendors through the 3PA program that CDK refused, such as the ability to write repair orders back into the DMS; and
- c. All documents and communications regarding any effort to disrupt the workflow of applications offered by competing vendors.

REQUEST NO. 92. Documents sufficient to show CDK's organizational structure, including leadership positions over CDK's DMS and data integration businesses, from January 1, 2007, to the present.

Dated: October 2, 2017

Respectfully submitted,

s/ Jennifer L. Gregor

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Attorneys for Plaintiff Authenticom, Inc.

CERTIFICATE OF SERVICE

I hereby certify that on October 2, 2017, I caused a true and correct copy of the foregoing Authenticom, Inc.'s First Set of Requests for the Production of Documents for Defendant CDK Global, LLC to be served by email upon the following individuals:

Mark W. Ryan (mryan@mayerbrown.com)
Britt M. Miller (bmiller@mayerbrown.com)
Jeffrey A. Simmons (jsimmons@foley.com)
Aundrea K. Gulley (agulley@gibbsbruns.com)
Michael P. A. Cohen (mcohen@sheppardmullin.com)
John S. Skilton (jskilton@perkinscoie.com)

s/ Michael N. Nemelka

Michael N. Nemelka

EXHIBIT 3

**IN THE UNITED STATES DISTRICT COURT
FOR THE WESTERN DISTRICT OF WISCONSIN**

AUTHENTICOM, INC.

Plaintiff,

vs.

Case No. 17-cv-318-jdp

CDK GLOBAL, LLC; and THE REYNOLDS
AND REYNOLDS COMPANY

Defendants.

**DEFENDANT CDK GLOBAL, LLC'S FIRST REQUESTS FOR THE PRODUCTION OF
DOCUMENTS TO PLAINTIFF AUTHENTICOM, INC.**

Pursuant to Rules 26 and 34 of the Federal Rules of Civil Procedure, Defendant CDK Global, LLC hereby requests that Plaintiff Authenticom, Inc. produce all documents described below for inspection and copying at the offices of Mayer Brown LLP, 71 South Wacker Drive, Chicago, Illinois 60606, on or before 30 days after service hereof. These Requests are to be responded to in accordance with the following Definitions and Instructions.

DEFINITIONS

1. "Authenticom," "You," and "Your" means Authenticom, Inc., as well as its officers, directors, members, principals, employees, agents, representatives, contractors, subsidiaries, parents, successors or predecessors, all persons acting on Authenticom's behalf, and any and all persons and entities affiliated with or controlled by Authenticom.

2. "CDK" means CDK Global, LLC, as well as its officers, directors, members, principals, employees, agents, representatives, contractors, subsidiaries, parents, successors or predecessors, all persons acting on CDK's behalf, and any and all persons and entities affiliated with or controlled by CDK.

3. “CDK DMS” means any DMS licensed to a Dealer by CDK.
4. “CDK Proprietary Data” means data that are owned or created by CDK and licensed to DMS users, including, for example, service pricing guide information, operation codes and descriptions, and service labor hours and rates, regardless of whether those data have been re-keyed into another DMS field.
5. “Dealer” means any new or used auto, truck, motorcycle, marine, recreational vehicle or heavy equipment dealer operating in the United States.
6. “DMS” means an enterprise system comprised of hardware, software, databases, and related data used by Dealers to manage and operate their dealerships, usually referred to as the DMS or Dealer Management System.
7. “OEM” means any Original Equipment Manufacturer.
8. “OEM Proprietary Data” means data that are owned or created by an OEM and licensed to DMS providers, including, for example, part numbers and descriptions, part costs, part return codes, part compensation values, part supersessions, operation codes and descriptions, and service labor hours and rates, regardless of whether those data have been re-keyed into another DMS field.
9. “Relevant Services” means the services that You provide to Vendors and Dealers that are dependent on DMS access, including what You refer to as “dealer data integration services” in the Complaint, as well as any data cleansing or data standardization services.
10. “Reynolds” means The Reynolds and Reynolds Company, as well as its officers, directors, members, principals, employees, agents, representatives, contractors, subsidiaries, parents, successors or predecessors, all persons acting on Reynolds’s behalf, and any and all persons and entities affiliated with or controlled by Reynolds.

11. “Third-Party Proprietary Data” means data that are owned or created by any third party and licensed to DMS providers, including, for example, Motors labor times, third-party vehicle description data, and incentive data, regardless of whether those data have been re-keyed into another DMS field.

12. “Vendor” means any third-party applications provider that uses Dealer or DMS data to provide Dealers with services, including inventory management, customer relationship management, and electronic vehicle registration and titling.

INSTRUCTIONS

1. Unless otherwise indicated, each Request relates to the time period January 1, 2013 to the present (the “Relevant Time Period”).

2. You are instructed to produce all documents and communications identified by these Requests either as they are kept in the usual course of business or organized and labeled according to the record description to which they are deemed responsive.

3. You are instructed to produce all documents and communications identified by these Requests that are in Your actual or constructive possession, custody, or control.

4. Any noun used in the singular form shall be construed and applied so as to include the plural form also, and vice versa.

5. Each Request shall be construed independently, and no Request shall be viewed as limiting the scope of any other Request, except that documents responsive to more than one Request need be produced only once.

6. Each and every non-identical copy of a document, whether different from the original because of stamps, indications of the recipient(s), handwritten notes, marks, attachments, or any other reason, is a separate document that must be produced.

7. If objecting to any Request, in whole or in part, the objection must state whether any responsive materials are being withheld on the basis of that objection in accordance with Fed. R. Civ. P. 34(b)(2)(c).

8. If You become aware of any documents or communications requested in these Requests that have been destroyed, lost, misplaced, or are otherwise incapable of production, You are instructed to respond by providing the following information:

- (a) The type of document (*e.g.* e-mail, notes, letters, memorandum, etc.);
- (b) A description of the document and its contents;
- (c) The identity of the author of the document;
- (d) The circumstances under which the document was destroyed, lost, misplaced, or rendered otherwise incapable of production; and
- (e) The identity of all persons having knowledge of the documents or the circumstances under which the document was destroyed, lost misplaced or otherwise rendered incapable of production.

9. In the event You assert any form of objection or privilege as a ground for not producing any documents or communications requested in these Requests, You must identify the legal grounds and facts supporting the objection or privilege in accordance with Fed. R. Civ. P. 26(b)(5) and any applicable local rules and orders issued in the above-captioned proceeding. With respect to each responsive document that is withheld on the basis of an applicable privilege or other protection against disclosure, You are instructed to:

- (a) Identify the author(s), sender(s), and recipient(s) of the document;
- (b) Identify the date(s) the document was created and distributed;
- (c) Identify the applicable privilege(s) or protection(s) against disclosure; and

- (d) Describe the nature of the document in a manner that will enable CDK to assess the applicability of the privilege(s) or protection(s) against disclosure that You rely on.

10. This set of Requests shall be deemed continuing in accordance with Fed. R. Civ. P. 26(e). If You obtain or become aware of any further documents responsive to this set of Requests, You are required to produce such additional documents.

DOCUMENT REQUESTS

1. All documents and communications related to whether or not You have the ability to access or have accessed from any CDK DMS—either directly or indirectly, including as a technical matter and regardless of any self-imposed, legal, or contractual restrictions or obligations—any Dealer employee or customer social security numbers, Nonpublic Personal Information (“NPI”), Personally Identifiable Information (“PII”), OEM Proprietary Data, CDK Proprietary Data, Third-Party Proprietary Data, or any other non-Dealer created or non-Dealer owned data.

2. Documents sufficient to show the date, time, content, and duration of all queries that You have performed on any CDK DMS.

3. Documents sufficient to show all data fields that You have accessed in, extracted from, or “pushed” back into any CDK DMS, and the frequency of each.

4. All documents and communications related to any degradation in system performance or data corruption, data integrity, or data security issue or incident attributed to Your access to a CDK DMS, including any investigations or complaints, and any policies, technologies, or other measures undertaken by You to prevent or mitigate degradation in system

performance or data corruption, data integrity, or data security issues or incidents resulting from Your DMS access methods.

5. All documents and communications related to Your policies and practices for requesting, receiving, accessing, storing, securing, encrypting, or using DMS login credentials.

6. Documents sufficient to show all attempts by You to obtain username and password credentials for any CDK DMS and all username and password credentials that You have used to access any CDK DMS.

7. All documents and communications related to any request for administrator-level or “UUP user” access to any CDK DMS, or any such access that You have received whether or not it was requested.

8. One copy of any “user emulation software” or any other software code or executable programs that You have created, used, or provided to Dealers to facilitate Your access to any CDK DMS.

9. Documents sufficient to show every means and method through which You have accessed, obtained data from, or “pushed” data back into a CDK DMS.

10. All documents and communications related to Your ability or alleged inability to obtain Dealer data without directly accessing a CDK DMS, including through the use of manual reporting tools.

11. All documents and communications that relate to whether Your methods of DMS access, data distribution, or data scraping comply with data security, data privacy, or automotive industry standards or guidance, including as provided by the National Automobile Dealers Association or the American International Automobile Dealers Association.

12. All documents and communications related to whether or not Your methods of DMS access, data distribution, or data scraping are prohibited by any applicable laws, regulations, or contractual agreements.

13. All documents and communications related to any efforts by CDK to block, disable, or otherwise restrict Your access to a CDK DMS.

14. All documents and communications related to Your efforts to evade CDK's blocking and detection efforts, including any attempts to bypass or work around any security features implemented by CDK and all scripts, programs, or executable files that You have created, used, or provided to Dealers.

15. All documents and communications related to Your data security policies and practices, including as they relate to the storage and encryption of data that You obtain from DMSs, and including any data security assessments or audits (internal or external).

16. All documents and communications related to Your data transfer protocols.

17. All documents and communications related to any actual or attempted security breach of Your systems, including DealerVault.

18. All communications with Microsoft related to data storage or data security, or Your certification as a Microsoft certified "partner" in any capacity.

19. Documents sufficient to show the form, content, and security features of the "secure web form" that You claim to use to receive DMS login credentials.

20. All documents and communications related to any request for, or receipt of, DMS login credentials through plain text email, or any other method besides "secure web form."

21. All documents and communications related to Your cyber liability insurance.

22. All documents and communications related to any actual or suspected misuse or misappropriation of data maintained in DealerVault.

23. All documents and communications that You contend to be evidence of an illegal agreement between CDK and Reynolds.

24. All documents and communications related to the alleged April 3, 2016 conversation between Steve Cottrell and Dan McCray.

25. All communications between You and any government agency related to CDK, Your claims and allegations, or this litigation.

26. All documents and communications related to any complaint to, or investigation by, any government agency concerning CDK, including all documents that You have provided to any government agency in connection with such complaint or investigation, either voluntarily or pursuant to a Civil Investigative Demand or other legal process.

27. All documents and communications that relate to or mention CDK or CDK's DMS, third-party access program, business practices, policies, strategy, or pricing.

28. All communications with CDK related to Your access to any CDK DMS or Your provision of or ability to provide Relevant Services.

29. All communications with Dealers, Vendors, or current or former "independent data integrators," as that term is used in the Complaint, related to Your access to any CDK DMS, Your claims and allegations, or this litigation.

30. All documents and communications related to any presentations that You have prepared or given that refer to CDK, Your claims and allegations, or this litigation.

31. All notes or minutes of any meeting of Your board of directors, shareholders, or investors that refer to CDK, Your claims and allegations, or this litigation.

32. Documents sufficient to identify (1) each Dealer whose data You have obtained in connection with providing Relevant Services, (2) their DMS provider, and (3) whether You obtain(ed) the data by accessing the Dealer's licensed DMS, from reports generated by the Dealer, or through some other means.

33. Documents sufficient to identify (1) each Vendor to whom You provide or have provided Relevant Services, (2) the specific Relevant Services provided, and (3) each Dealer whose data You have accessed in connection with providing Relevant Services.

34. All contracts with which you claim CDK has tortiously interfered.

35. All versions of Your Terms and Conditions with Dealers—and any agreements to similar effect but otherwise named—that have been in effect at any time.

36. All versions of Your Vendor Agreement with Vendors—and any agreements to similar effect but otherwise named—that have been in effect act at any time.

37. All documents and communications related to the drafting, negotiation, interpretation, or enforcement of any of the following provisions, or provisions to the same or similar effect, in Your Terms and Conditions and Vendor Agreements:

- a. Terms and Conditions § 6.7: “**Password Security**. DealerVault will issue to Dealership, or shall authorize an Administrator to issue, a password for each User authorized to use Dealership's account. Dealership and Dealership's Users must maintain the confidentiality of all passwords and ensure that each password is used only by the unique authorized User to whom such password is assigned. ...”
- b. Terms and Conditions § 6.8: “**General Security**. DealerVault will maintain the DealerVault Technology used to provide the Services at a third party location, hosting, and telecommunication facilities, where it is subject to commercially reasonable security precautions to prevent unauthorized access to the Services. Dealership acknowledges that, notwithstanding such security precautions, unauthorized third parties may gain access to the Services and to any or all Dealership Data. Accordingly, DealerVault cannot and does not guarantee the privacy, security, or integrity of any Dealership Data or of any other data transmitted by or through the Services.”

- c. Terms and Conditions § 7.1: “**Mutual Warranties.** Each Party represents and warrants that: (I) it has the legal right, power, and authority to enter into these Terms and Conditions and to perform all of its obligations under these Terms and Conditions, and (II) its entrance into these Terms and Conditions does not violate any agreement between such Party and any third party.”
- d. Terms and Conditions § 10.4: “**Relationship of the Parties.** The Parties expressly agree that they are independent contractors and do not intend for these Terms and Conditions to be interpreted as an employment agency, joint venture, or partnership relationship.”
- e. Vendor Agreement § 1.2: “Vendor agrees that Vendor will not furnish to any third party any Data provided to Vendor by DealerVault without written authorization from DealerVault or the Dealership.”
- f. Vendor Agreement § 1.3: “Vendor is only authorized to use the Data received from DealerVault in accordance with the specific written instruction of the specific Dealership from which DealerVault obtained the Data or with written authorization provided by DealerVault.”
- g. Vendor Agreement § 1.4: “Vendor agrees that the Vendor will protect all Data provided by DealerVault so as to preclude access by any third party.”
- h. Vendor Agreement § 3.1: “Vendor is granted a non-transferable, revocable, non-exclusive, non-sub licensable (without prior written permission from Dealership), limited license to the Data only to the extent to perform the services or provide the products to Dealership as those are specifically set forth and defined in the written contract between Dealership and Vendor. If no such written contract exists Vendor may not receive Dealership Data until the basic terms of the provision of the products and/or services are set forth in writing. ...”
- i. Vendor Agreement § 7.11: “Authority. Each Party represents that it has full power and authority to enter into this Agreement, that the execution of this Agreement and the matters contemplated in this Agreement have been fully authorized by all necessary legal action, and that this Agreement is binding upon such Party. Each Party further represents that it has not entered into, nor will it enter into, any agreements that would conflict with its obligations under this Agreement or would render it incapable of satisfactorily performing hereunder.”

38. All documents and communications related to Your policies and procedures for providing notification and/or obtaining consent or authorization from Dealers to access DMSs.

39. All documents and communications that You contend establish Authenticom as any Dealer's "agent" or authorize Authenticom to access any CDK DMS.

40. All documents and communications related to any circumstances in which You have accessed a CDK DMS without first obtaining express, written authorization from a Dealer purporting to permit such access.

41. All documents and communications related to any Dealer who has declined to authorize or has asked You to stop accessing, or extracting data from, any DMS.

42. Documents sufficient to show Your total monthly DMS connections (as measured by dealer rooftops) for each DMS provider.

43. Documents sufficient to identify the Vendors and/or Dealers that comprise the "CDK" line and the Relevant Service or Services associated with the "connections" attributed to the "CDK" line in the "DMS Connections" chart on page 27 of Authenticom's Consolidated Brief filed in the Seventh Circuit on September 5, 2017, and any comparable data as may become available through the close of fact discovery.

44. Documents sufficient to show Your total monthly revenues, expenses, net cash flows, net profits, and EBITDA for each Relevant Service that You provide.

45. Documents sufficient to show all projected revenues, profits, and earnings for Relevant Services on an annual and quarterly basis.

46. Documents sufficient to show Your current and historical pricing for all Relevant Services.

47. Documents sufficient to show any up-front fees, initial installation fees, and monthly fees charged to Dealers and Vendors for each Relevant Service that You provide, or which You have provided.

48. Documents sufficient to show Your fixed and variable costs associated with providing each Relevant Service.

49. All documents and communications comparing Your costs or pricing for Relevant Services against CDK's costs or pricing.

50. All documents and communications related to Your development of DealerVault.

51. Documents sufficient to show how data is received, stored, and transmitted by DealerVault, including Your network and systems architecture related to DealerVault.

52. Documents sufficient to show Your investments in DealerVault including, specifically, any investments in DealerVault related to data security.

53. Any written business plan or presentation related to DealerVault or Your provision of Relevant Services.

54. All communications with investors, potential investors, employees, partners, owners, shareholders, principals, or third parties in which those individuals have expressed doubt or disapproval of the DealerVault business model.

55. All documents, communications, and agreements related to Your repurchase of stock, shares, or other ownership interests from any of Your investors or shareholders.

56. All documents and communications related to Your April 2014 loan transactions with BMO Harris, as reflected in the credit agreement, promissory note, and related loan documents, including all documents that form the loan, all documents provided to BMO Harris in connection with the loan, all communications with BMO Harris related to the loan, and any efforts to obtain refinancing or forbearance on the loan.

57. All documents and communications related to any efforts to obtain refinancing on the 2014 loan transactions with any other lender besides BMO Harris, including all documents provided to the lender and communications with the lender.

58. All documents and communications related to the “Going Concern” note to Your financial statement for the years ended October 31, 2016 and 2015 prepared by WIPFLi LLP.

59. All documents relied upon, considered, reviewed, or consulted by You in connection with Your Complaint, Your motion for a preliminary injunction, including any briefs, declarations, argument, expert reports, or other papers filed in support thereof, or any papers filed in the appeal thereof now pending before the Seventh Circuit.

60. All documents and communications related to Your claims that CDK has engaged in any anticompetitive activity or that competition in any market has been harmed.

61. All documents and communications related to Your alleged injuries or damages.

62. All documents and communications related to the existence or bounds of the alleged “DMS Market,” the “Dealer Data Integration Market,” and any alleged “single-brand aftermarket,” as those terms are used in the Complaint.

63. All documents and communications related to competition with or among any participants in the alleged “DMS Market” or “Dealer Data Integration Market,” including all documents related to customer switching.

64. All documents and communications related to any products or services offered by current or former “independent data integrators,” as that term is used in the Complaint, including InDesign Data/IDMS, Superior Integrated Solutions, SelectQu, New Freedom Data Resources, The StoneEagle Group, and ProQuotes Inc.

65. Documents sufficient to show your policies concerning the preservation, retention, storage, or destruction of any documents or ESI.

66. Documents sufficient to show Your organizational structure from January 1, 2013 to the present, including the identity of all officers, employees, investors, partners, owners, shareholders, or principals with management-level responsibility for Relevant Services.

67. All documents requested by or produced to Reynolds in this litigation.

68. All documents provided to You by any non-party that are related to this litigation or otherwise responsive to these Requests, whether pursuant to a subpoena or otherwise.

Dated: October 13, 2017

CDK GLOBAL, LLC

/s/ Mark W. Ryan
Britt M. Miller (*pro hac vice*)
Matthew D. Provance (*pro hac vice*)
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Counsel for CDK Global, LLC

CERTIFICATE OF SERVICE

I, Matthew D. Provance, an attorney, certify that I caused a copy of the foregoing **DEFENDANT CDK GLOBAL, LLC'S FIRST REQUESTS FOR THE PRODUCTION OF DOCUMENTS TO PLAINTIFF AUTHENTICOM, INC.** to be served on counsel of record in the above-captioned proceeding via email in accordance the parties' written stipulation to receive service by electronic means pursuant to Fed. R. Civ. P. 5(b)(2)(E).

/s/ Matthew D. Provance

EXHIBIT 4

KELLOGG, HANSEN, TODD, FIGEL & FREDERICK, P.L.L.C.

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1615 M STREET, N.W.
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June 7, 2018

Via Electronic Mail

Britt M. Miller
Mayer Brown LLP
71 South Wacker Drive
Chicago, Illinois 60606

Re: *In Re: Dealer Management Systems Antitrust Litigation*, 1:18-cv-00864

Dear Britt:

The MDL Plaintiffs write in response to your current motion for a protective order (ECF Nos. 195-97) relating to documents that CDK produced to the FTC in connection with CDK's former proposed acquisition of Auto/Mate ("FTC Auto/Mate Documents"). We propose a compromise. It appears your objections relate to burden and relevance. To eliminate those concerns, we are willing to agree that, *for this production only*, you may designate all FTC Auto/Mate Documents as "Highly Confidential" pursuant to the Protective Order. The MDL Plaintiffs reserve the right to identify, at a later date, individual FTC Auto/Mate Documents that should be de-designated as either Confidential or that should have no confidentiality designation. As to relevancy, we suggest that CDK simply reserve its rights to lodge any relevance objections, if necessary, at any time in the future should any MDL Plaintiff attempt to use the FTC Auto/Mate Documents in this litigation. We would have no objection to that approach.

We believe our proposal is the simplest solution to the issues you have raised and would like to discuss it during tomorrow's conference call.

Very truly yours,

s/ Derek T. Ho
Derek T. Ho

s/ Peggy Wedgworth
Peggy Wedgworth

Cc: CDK MDL Email List

EXHIBIT 5

From: Miller, Britt M.
To: [Wedgworth, Peggy](#); [CDK-MDL-Team \(mayerbrown.com\)](#)
Cc: [McKenna, Elizabeth](#); [Schindler, Sarah](#); [Nemelka, Michael N.](#); [Ho, Derek T.](#); [Hafenbrack, Joshua](#)
Subject: RE: DMS Antitrust - CDK Motion for Protective Order
Date: Friday, June 8, 2018 6:25:25 PM
Attachments: [image004.png](#)
[image005.png](#)

Peggy and Mike –

I've been tied up all day, but understand from Matt that you both insisted on this morning's call regarding custodians that we respond today to Peggy's letter of yesterday afternoon.

While CDK appreciates the outreach, it does not believe that your proposal meaningfully addresses the bulk of the issues raised in our motion. If there is a principled compromise that Plaintiffs wish to suggest short of CDK turning over the entirety of its FTC Second Request production, we would be happy to discuss. To be clear, we believe that the compromise that we previously reached with Authenticom's counsel was more than reasonable under the circumstances, but Plaintiffs have made clear that they no longer believe that to be the case.

Regards – Britt

Britt M. Miller
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Chicago, Illinois 60606-4637
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Direct Fax: (312) 706-8763
e-mail: bmiller@mayerbrown.com

From: Wedgworth, Peggy [<mailto:pwedgworth@milberg.com>]
Sent: Thursday, June 07, 2018 3:14 PM
To: FW-CLIENT-CDK-MDL-Team
Cc: McKenna, Elizabeth; Schindler, Sarah; Nemelka, Michael N. (mnemelka@kellogghansen.com); Ho, Derek T. (dho@kellogghansen.com); Hafenbrack, Joshua (jhafenbrack@kellogghansen.com)
Subject: DMS Antitrust - CDK Motion for Protective Order

Britt,

Please see attached letter.

Peggy J. Wedgworth
Partner

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